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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 09/982,763

Filing Date October 18, 2001

First Named Inventor Ruth E. Bauhahn

Group Art Unit 3762

Examiner Name Not assigned

Attorney Docket Number 011738.00039

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## ENCLOSURES (check all that apply)

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(Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s)  
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 Response to Missing Parts/ Incomplete Application

Remarks

 Response to Missing Parts under 37 CFR 1.52 or 1.53

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual nameBinal J. Patel  
Banner & Witcoff, Ltd.

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January 30, 2004

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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 011738.00039)

In the Application of: )  
Ruth E. Bauhahn et al. )  
Serial No. 09/982,763 ) Group Art Unit: 3762  
Filed: October 18, 2001 ) Examiner: Not assigned  
For: PATIENT DIRECTED THERAPY MANAGEMENT )

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Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

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1. European Patent No. EP0939661 published August 28, 2002;
2. European Patent No. EP1134003, published September 19, 2001;
3. UK Patent No. GB2069844, published September 3, 1981;
4. PCT International Publication No. WO 01/93953, published December 13, 2001;
5. PCT International Publication No. WO 84/03218, published August 30, 1984;
6. PCT International Publication No. WO 95/13112, published May 18, 1995;
7. PCT International Publication No. WO 96/30081, published October 3, 1996; and
8. PCT International Publication No. WO 97/43002, published November 20, 1997.

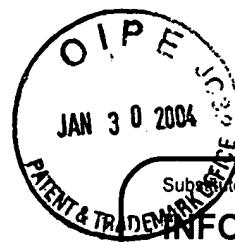
Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: January 30, 2004

By:



Binal J. Patel  
Reg. No. 42,065



Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

of 2

Complete if Known

Application Number	09/982,763
Filing Date	October 18, 2001
First Named Inventor	Ruth E. Bauhahn
Group Art Unit	3762
Examiner Name	Not assigned

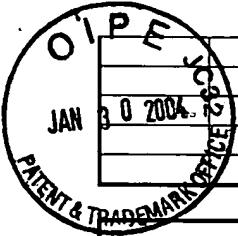
Attorney Docket Number 011738.00039

Examiner Initials *	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Application of: Bauhahn et al.

Application No.: 09/982,763

Filing Date: October 18, 2001

Title: Patient Directed Therapy Management

Transmitted herewith are the following documents:

- Transmittal Form (1 page) in duplicate
- Supplemental Information Disclosure Statement (3 pages)
- Form PTO/SB/08A (2 pages) with 8 cited foreign references only
- Return Postcard

Attorney Case No.: 11738.00039